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PTO/SB/05 (4/98)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

NONPROVISIONAL
Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No. 1620/76982

First Inventor or Application Identifier Ekkert

Title Cap and container assembly

Express Mail Label No. EL133503808US

APPLICATION ELEMENTS		ADDRESS TO:		
See MPEP chapter 600 concerning utility patent application contents		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
1. <input checked="" type="checkbox"/>	*Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/>	Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/>	Specification (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 	Total Pages <div style="border: 1px solid black; padding: 2px; text-align: center;">15</div>	6. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identify of above copies 	
3. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C. 113)	Total Sheets <div style="border: 1px solid black; padding: 2px; text-align: center;">4</div>	ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration		Total Pages <div style="border: 1px solid black; padding: 2px; text-align: center;">2</div>	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
a. <input checked="" type="checkbox"/>	Newly executed (original or copy)		8. <input type="checkbox"/> 37. C.F.R. § 3.73(b) Statement (<i>when there is an assignee</i>)	<input type="checkbox"/> Power of Attorney
b. <input type="checkbox"/>	Copy from a prior application (37 C.F.R. § 1.63(d)) (<i>for continuation/divisional with Box 16 completed</i>)		9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
i. <input type="checkbox"/>	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
			11. <input type="checkbox"/> Preliminary Amendment	
			12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)	
			13. <input checked="" type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12)0	<input type="checkbox"/> Statement filed in Prior application, Status still proper and desired
			14. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)	
			15. <input type="checkbox"/> Other: _____	
<p>*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</p>				

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation Divisional Continuation-In-Part (CIP) Of prior application No.: _____ / _____

Prior application information: Examiner _____ Group/Art Unit: _____
FOR CONTINUATION or DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declarations supplied
Under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
Reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Name Print/Type)	L. Friedman		Registration No. (Attorney/Agent)	37135	
Signature				Date	29 December 1999

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PATENT
1620/76982

jc583 U.S. PTO
09/475301
12/29/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application For:)
CAP AND CONTAINER ASSEMBLY)
)
Applicant: Len EKKERT)
)

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this Certificate of Express Mail, together with a Utility Patent Application Transmittal Form, a Fee Transmittal Form, a check for \$420.00 (small entity application fee and assignment recordation fee), a fifteen (15) page specification, four (4) sheets of informal drawings, a two (2) page Declaration and Power of Attorney, an Assignment, an Assignment Recordation Cover Sheet, a three (3) page Information Disclosure Statement, a three (3) page Form PTO-1449, a copy of each of 33 listed patents, a Return Receipt Postcard, and a Small Entity Status Statement are being deposited with the United States Postal Service as Express Mail in an envelope with Express Mail No. EL133503808US, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this date of 29 December 1999.

V. Hughes

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